

FORM PTO-1449

ATTY. DOCKET NO.
37851-922SERIAL NO.
10/658,834CONFIRM NO.
7681APPLICANT
GANTIER et al.CUSTOMER NO.
24961FILING DATE
September 8, 2003GROUP
1644

STATE OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

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U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | *Ref. Code | DOCUMENT NUMBER | | | | | | | | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
|---------------------|---------------|-----------------|---|---|---|---|---|---|--|----------|-----------------|-------|--------------|----------------|
| /EGS/ | A | 0 | 1 | 2 | 9 | 2 | 0 | 3 | | 07/10/03 | Vega et al. | 424 | 233.1 | 12/17/01 |
| | B | 0 | 1 | 2 | 9 | 5 | 8 | 4 | | 07/10/03 | Vega | 435 | 5 | 12/13/00 |
| | C | 0 | 1 | 3 | 4 | 3 | 5 | 1 | | 07/17/03 | Vega et al. | 435 | 69.1 | 12/17/01 |
| | D | 0 | 1 | 7 | 5 | 6 | 9 | 4 | | 09/18/03 | Vega | 435 | 5 | 05/04/01 |
| | E | 3 | 2 | 2 | 4 | 4 | 0 | 4 | | 12/04/03 | Vega et al. | 435 | 6 | 02/24/03 |
| | F | 4 | 0 | 4 | 4 | 1 | 2 | 6 | | 08/23/77 | Cook et al. | 424 | 243 | 07/09/76 |
| | G | 4 | 3 | 6 | 4 | 9 | 2 | 3 | | 12/21/82 | Cook et al. | 424 | 46 | 04/30/81 |
| | H | 4 | 4 | 1 | 4 | 2 | 0 | 9 | | 11/08/83 | Cook et al. | 424 | 243 | 06/13/77 |
| | I | 4 | 5 | 2 | 2 | 8 | 1 | 1 | | 06/11/85 | Eppstein et al. | 514 | 2 | 07/08/82 |

FOREIGN PATENT DOCUMENTS

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| /EGS/ | J | 0 | 1 | 3 | 2 | 7 | 1 | 1 | | A2 05/10/01 | PCT | | | |
| | K | 0 | 1 | 4 | 4 | 8 | 0 | 9 | | A2 06/21/01 | PCT | | | |
| | L | 0 | 1 | 8 | 6 | 2 | 9 | 1 | | A1 11/15/01 | PCT | | | |
| | M | 03 | 0 | 2 | 3 | 0 | 3 | 2 | | A2 03/20/03 | PCT | | | |
| | N | 03 | 0 | 1 | 8 | 8 | 2 | 0 | | A2 03/06/03 | PCT | | | |
| | O | 2 | 8 | 0 | 2 | 6 | 4 | 5 | | A1 06/22/01 | FR | | | X+ |

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Title: **RATIONAL EVOLUTION OF CYTOKINES FOR HIGHER STABILITY, THE CYTOKINES AND ENCODING NUCLEIC ACID MOLECULES**

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| /EGS/ | P | 2 | 8 | 0 | 8 | 0 | 4 | | At 11/16/01 | FR | | | X+ |

X+ = An English Language Equivalent is provided.

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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|-------|---|---|
| /EGS/ | Q | Bellico <i>et al.</i> , "The phospholipid and fatty acid composition of skeletal muscle cells during culture in the presence of vitamin D-3 metabolites," <i>Biochim Biophys Acta</i> , 922 (2):162-169 (1987) |
| | R | Biron <i>et al.</i> , "Natural Killer Cells In Antiviral Defenses: Function and Regulation by Innate Cytokines," <i>Annu. Rev. Immunol.</i> , 17 :189-220 (1999) |
| | S | Boger <i>et al.</i> , "Surface Probability Profiles. A Heuristic Approach to the Selection of Synthetic Peptide Antigens," <i>Reports of the Sixth International Congress in Immunology</i> , p. 250 (1986) |
| | T | Briand <i>et al.</i> , "Impact of the lysine-188 and aspartic acid-189 inversion on activity of trypsin," <i>FEBS Lett.</i> , 442 (1):43-47 (1999) |
| | U | Dayhoff <i>et al.</i> , "A Model of Evolutionary Change in Proteins," <i>Atlas of Protein Sequence and Structure</i> , 5 (3):345-352 (1978) |
| | V | Diaz <i>et al.</i> , "Nomenclature of the Human Interferon Genes," <i>J. Interferon Cytokine Res.</i> , 16 :179-180 (1996) |
| | W | Drittanti <i>et al.</i> , "Effects of 1,25-dihydroxyvitamin D-3 on phospholipid metabolism in chick myoblasts," <i>Biochim Biophys Acta</i> , 962 (1):1-7 (1988) |
| | X | Drittanti <i>et al.</i> , "Changes in muscle lipid metabolism induced in vitro by 1,25-dihydroxy-vitamin D-3," <i>Biochim Biophys Acta</i> , 918 (1):83-92 (1987) |

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| /EGS/ | Y | Drittanti <i>et al.</i> , "Involvement of the 3',5'-cyclic AMP pathway in the induction of calmodulin synthesis in myoblasts by 1,25(OH)2-vitamin D3," <i>Biochim Biophys Res Commun.</i> , <u>192</u> (2):886-892 (1993) |
| | Z | Drittanti <i>et al.</i> , "Modulation of DNA synthesis in cultured muscle cells by 1,25-dihydroxyvitamin D-3," <i>Biochim Biophys Acta.</i> , <u>1014</u> (2):112-119 (1989) |
| | AA | Drittanti <i>et al.</i> , "Stimulation of calmodulin synthesis in proliferating myoblasts by 1,25-dihydroxy-vitamin D3," <i>Mol Cell Endocrinol.</i> , <u>74</u> (2):143-153 (1990) |
| | AB | Drittanti <i>et al.</i> , "Optimised helper virus-free production of high-quality adeno-associated virus vectors," <i>J Gene Med.</i> , <u>3</u> (1):59-71 (2001) |
| | AC | Drittanti <i>et al.</i> , "Cystic fibrosis: gene therapy or preventive gene transfer?" <i>Gene Ther.</i> , <u>4</u> (10):1001-1003 (1997) |
| | AD | Drittanti <i>et al.</i> , "High throughput production, screening and analysis of adeno-associated viral vectors," <i>Gene Ther.</i> , <u>7</u> (11):924-929 (2000) |
| | AE | Du <i>et al.</i> , "Efficient transduction of human neurons with an adeno-associated virus vector," <i>Gene Ther</i> 3:254-261 (1996) |
| | AF | Feng <i>et al.</i> , Aligning Amino Acid Sequences: Comparison of Commonly Used Methods," <i>J. Mol. Evol.</i> , <u>21</u> :112-125 (1985) |
| | AG | Fitch, "An Improved Method of Testing for Evolutionary Homology," <i>J. Mol. Evol.</i> , <u>16</u> (1):9-16 (1966) |
| | AH | Gibrat <i>et al.</i> , "Surprising similarities in structure comparison," <i>Current Opinion in Structural Biology</i> , <u>6</u> :377-385 (1995) |
| | AI | Gonnet <i>et al.</i> , "Exhaustive Matching of the Entire Protein Sequence Database," <i>Science</i> , <u>256</u> :1433-1445 (1992) |
| | AJ | Grantham, "Amino Acid Difference Formula to Help Explain Protein Evolution," <i>Science</i> , <u>185</u> :862-864 (1974) |

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| /EGS/ | AK | Grantier <i>et al.</i> , "The L392V mutation of presenilin 1 associated with autosomal dominant early-onset Alzheimer's disease alters the secondary structure of the hydrophilic loop," <i>Neuroreport</i> , <u>10</u> (14):3071-3074 (1999) |
| | AL | Grantier <i>et al.</i> , "The pathogenic L392V mutation of presenilin 1 decreases the affinity to glycogen synthase kinase-3 beta," <i>Neurosci Lett.</i> , <u>283</u> (3):217-220 (2000) |
| | AM | Guyon <i>et al.</i> , "Regulation of acetylcholine receptor alpha subunit variants in human myasthenia gravis. Quantification of steady-state levels of messenger RNA in muscle biopsy using the polymerase chain reaction," <i>J Clin Invest.</i> , <u>94</u> (1):16-24 (1994) |
| | AN | Guyon <i>et al.</i> , "Regulation of acetylcholine receptor gene expression in human myasthenia gravis muscles. Evidence for a compensatory mechanism triggered by receptor loss," <i>J Clin Invest.</i> , <u>102</u> (1):249-263 (1998) |
| | AO | Henikoff <i>et al.</i> , "Amino acid substitution matrices from protein blocks," <i>Proc. Natl. Acad. Sci. USA</i> , <u>89</u> :10915-10919 (1992) |
| | AP | Hibbert <i>et al.</i> , "Human Type 1 Interferons Differ Greatly in Their Effects on the Proliferation of Primary B Cells," <i>J. Interferon Cytokine Res.</i> , <u>19</u> :309-318 (1999) |
| | AQ | Hoedemaekers <i>et al.</i> , "Differential susceptibility of young and old rat neuromuscular junctions to antibody-mediated AChR degradation in experimental autoimmune myasthenia gravis," <i>Ann N Y Acad Sci.</i> , <u>841</u> :550-554 (1998) |
| | AR | Hoedemaekers <i>et al.</i> , "Role of target organ in determining susceptibility to experimental autoimmune myasthenia gravis," <i>J Neuroimmunol.</i> , <u>89</u> (1-2):131-141 (1998) |
| | AS | Holm <i>et al.</i> , "Mapping the Protein Universe," <i>Science</i> , <u>273</u> :595-602 (1996) |
| | AT | IUPAC-IUB "Commission on Biochemical Nomenclature Abbreviated Nomenclature of Synthetic Polypeptides (Polymerized Amino Acids)," <i>Biochem.</i> , <u>11</u> :942-944 (1972) |
| | AU | IUPAC-IUB "Commission on Biochemical Nomenclature A One-Letter Notation for Amino Acid Sequences Tentative Rules", <i>J. Biol. Chem.</i> , <u>243</u> (13):3557-3559 (1968) |

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| /EGS/ | AV | Johnson <i>et al.</i> , "A Structural Basis for Sequence Comparisons. An Evaluation of Scoring Methodologies," <i>J. Mol. Biol.</i> , <u>233</u> :716-738 (1993) |
| | AW | Jones <i>et al.</i> , "The rapid generation of mutation data matrices from protein sequences," <i>Comput. Appl. Biosci.</i> , <u>8</u> :275-282 (1992) |
| | AX | Lu, G., "TOP: a new method for protein structure comparisons and similarity searches," <i>J. Appl. Cryst.</i> , <u>33</u> :176-189 (2000) |
| | AY | Marrack <i>et al.</i> , "Type I Interferons Keep Activated T Cells Alive," <i>J. Exp. Med.</i> , <u>189</u> :521-530 (1999) |
| | AZ | Masciovecchio <i>et al.</i> , "The interactivity between the CFTR gene and cystic fibrosis would be limited to the initial phase of the disease," <i>Genet Med.</i> , <u>2</u> (2):124-130 (2000) |
| | BA | McLachlan, "Tests for Comparing Related Amino-acid Sequences. Cytochrome <i>c</i> and Cytochrome <i>c</i> ₅₅₁ ," <i>J. Mol. Biol.</i> , <u>61</u> :409-424 (1971) |
| | BB | Miyata, "Two Types of Amino Acid Substitutions in Protein Evolution," <i>J. Mol. Evol.</i> , <u>12</u> :219-236 (1979) |
| | BC | Morikawa <i>et al.</i> , "Recombinant interferon- α , - β and - γ enhance the proliferative response of human B cells," <i>J. Immunol.</i> , <u>139</u> :761-766 (1987) |
| | BD | Moulian <i>et al.</i> , "Respective role of thymus and muscle in autoimmune myasthenia gravis," <i>Ann N Y Acad Sci.</i> , <u>841</u> :397-406 (1998) |
| | BE | Murzin <i>et al.</i> , "SCOP: A Structural Classification of Proteins Database for the Investigation of Sequences and Structures," <i>J. Mol. Biol.</i> , <u>247</u> :536-540 (1995) |
| | BF | Orengo <i>et al.</i> , "CATH - a hierarchic classification of protein domain structures," <i>Structure</i> , <u>5</u> (8):1093-1108 (1997) |
| | BG | Pestka <i>et al.</i> , "Interferons and Their Actions," <i>Annu. Rev. Biochem.</i> , <u>56</u> :727-777 (1987) |

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| /EGS/ | BH | Piehl et al., "New Structural and Functional Aspects of the Type I Interferon-Receptor Interaction Revealed by Comprehensive Mutational Analysis of the Binding Interface," <i>J. Biol. Chem.</i> , <u>275</u> :40425-40433 (2000) |
| | BI | Poesa et al., "Modulation of acetylcholine receptor expression in seronegative myasthenia gravis," <i>Ann Neurol.</i> , <u>48</u> (5):696-705 (2000) |
| | BJ | Poëa et al., "Expression of ciliary neurotrophic factor receptor in myasthenia gravis," <i>J Neuroimmunol.</i> , <u>120</u> (1-2):180-189 (2001) |
| | BK | Rao, "New scoring matrix for amino acid residue exchanges based on residue characteristic physical parameters," <i>J. Pept. Protein Res.</i> , <u>29</u> :276-281 (1987) |
| | BL | Raux et al., "The -2 bp deletion in exon 6 of the 'alpha 7-like' nicotinic receptor subunit gene is a risk factor for the P50 sensory gating deficit," <i>Mol Psychiatry</i> , <u>7</u> (9):1006-1011 (2002) |
| | BM | Raux et al., "A novel presenilin 1 missense mutation (L153V) segregating with early-onset autosomal dominant Alzheimer's disease," <i>Human Mutat.</i> , Report #134, two pages (2000) |
| | BN | Raux et al., "Dementia with prominent frontotemporal features associated with L113P presenilin 1 mutation," <i>Neurol.</i> , <u>55</u> (10):1577-1578 (2000) |
| | BO | Risler et al., "Amino Acid Substitutions in Structurally Related Proteins A Pattern Recognition Approach," <i>J. Mol. Biol.</i> , <u>204</u> :1019-1029 (1988) |
| | BP | Robert et al., "Interferon Induces Proliferation In Leukemic And Normal B-Cell Subsets," <i>Hematol. Oncol.</i> , <u>4</u> :113-120 (1986) |
| | BQ | Roisman et al., "Structure of the interferon-receptor complex determined by distance constraints from double-mutant cycles and flexible docking," <i>Proc. Natl. Acad. Sci. USA</i> , <u>98</u> :13231-13236 (2001) |
| ↓ | BR | Sali et al., "Definition of General Topological Equivalence in Protein Structures," <i>J. Mol. Biol.</i> , <u>212</u> :403-428 (1990) |

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| /EGS/ | BS | Sanchez <i>et al.</i> , "Spastic paraplegia and primary adrenal insufficiency: a case of adrenomyeloneuropathy," <i>Medicina (B Aires)</i> , <u>48</u> (3):290-296 (1988) (Summary in English) |
| | BT | Scheel-Toeller <i>et al.</i> , "Inhibition of T cell apoptosis by IFN- β rapidly reverses nuclear translocation of protein kinase C- δ ," <i>Eur. J. Immunol.</i> , <u>29</u> :2603-2612 (1999) |
| | BU | Shindyalov <i>et al.</i> , "Protein structure alignment by incremental combinatorial extension (CE) of the optimal path," <i>Protein Engineering</i> , <u>11</u> (9):739-747 (1998) |
| | BV | Smith <i>et al.</i> , "Single-step purification of polypeptides expressed in <i>Escherichia coli</i> as fusions with glutathione S-transferase," <i>Gene</i> , <u>67</u> :31-40 (1988) |
| | BW | Stark <i>et al.</i> , "How cells Respond to Interferons," <i>Annu. Rev. Biochem.</i> , <u>67</u> :227-264 (1998) |
| | BX | Wakkach <i>et al.</i> , "Expression of acetylcholine receptor genes in human thymic epithelial cells: implications for myasthenia gravis," <i>J Immunol.</i> , <u>157</u> (8):3752-3760 (1996) |

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** If an asterisk is placed beside the reference number, a copy is NOT provided because pursuant to the USPTO's waiver from the 37 CFR 1.98(a)(2)(i) requirement for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. national patent applications filed after June 30, 2003 and for all international applications that have entered the national stage under 35 USC 371 after June 30, 2003. See 37 CFR 1.491(b).

+ Derwent English language abstract and/or English translation provided.

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| EXAMINER INITIAL | *Ref. Code | DOCUMENT NUMBER | | | | | | | | DATE | NAME | CLASS | SUB CLASS | FILING DATE |
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| /EGS/ | A | 0 | 1 | 7 | 5 | 6 | 9 | 4 | | 09/18/03 | Vega | 435 | 5 | 05/04/01 |

FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | *Ref. Code | DOCUMENT NUMBER | | | | | | | | DATE | COUNTRY | CLASS | SUB CLASS | Translation Yes No |
|---------------------|---------------|-----------------|---|---|---|---|---|---|--|----------|---------|-------|--------------|-----------------------|
| /EGS/ | B | 0 | 1 | 8 | 6 | 2 | 9 | 1 | | 11/15/01 | PCT | | | + |
| /EGS/ | C | 1 | 0 | 2 | 2 | 3 | 3 | 5 | | 07/26/00 | EP | | | |
| /EGS/ | D | 9 | 7 | 3 | 8 | 7 | 2 | 3 | | 10/23/97 | PCT | | | |
| /EGS/ | E | 9 | 8 | 3 | 2 | 8 | 8 | 0 | | 07/30/98 | PCT | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

NONE

EXAMINER

/Elly Gerald Stoica/

DATE CONSIDERED

03/15/2007

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Title: **RATIONAL EVOLUTION OF CYTOKINES FOR HIGHER STABILITY, THE CYTOKINES AND ENCODING NUCLEIC ACID MOLECULES**

| | | | |
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| Substitute Form PTO-149 (Modified) | U.S. Department of Commerce Patent and Trademark Office NOV 09 2004 | Attorney's Docket No. 17109-012001 / 922 | Application No. 10/658,834 |
| List of Patents and Publications for Applicant's Information Disclosure Statement | | Applicant Rene Gantier et al. | |
| | | Filing Date September 8, 2003 | Group Art Unit 1646 |

(37 CFR §1.98(b))

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| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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| /EGS/ | AB | 5,223,409 | 06/29/93 | Ladner et al. | 435 | 69.7 | 03/01/91 |
| /EGS/ | AC | 6,013,478 | 01/11/00 | Wells et al. | 435 | 69.1 | 06/24/98 |
| /EGS/ | AD | 6,171,820 | 01/09/01 | Short | 435 | 69.1 | 02/04/99 |
| /EGS/ | AE | 2003/0224404 | 12/04/03 | Vega et al. | 435 | 6 | 02/24/03 |


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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| /EGS/ | AF | 01/61344 | 08/23/01 | PCT | | | | |
| /EGS/ | AG | 01/025438 | 04/12/01 | PCT | | | | |
| /EGS/ | AH | 04/022747 | 03/18/04 | PCT | | | | |
| /EGS/ | AI | 04/022593 | 03/18/04 | PCT | | | | |
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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | | Attorney's Docket No. 17109-012001 / 922 | | Application No. 10/658,834 | |
|--|--------------|--|---------------------|---|-------|-------------------------------|-------------------------------|
|  <p>List of Patents and Applications for Applicant's Information Disclosure Statement</p> <p>(37 CFR §1.98(b))</p> | | | | Applicant Réne Gantier, et al. | | Group Art Unit 1646 | |
| | | | | Filing Date September 8, 2003 | | | |
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| | | | | Filing Date September 8, 2003 | | Group Art Unit 1646 | |
| (37 CFR §1.98(b)) | | | | | | | |
| /EGS/ | AZ | 02/16606 | 02/28/02 | PCT | | | Yes |
| /EGS/ | BA | 99/64582 | 12/16/99 | PCT | | | No |

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| List of Patents and Publications for Applicant's Information Disclosure Statement | | | | Applicant Rene Gantier, et al. | | | |
| | | | | Filing Date September 8, 2003 | | Group Art Unit 1646 | |
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| Examiner Signature /Ely Gerald Stoical/ | | | | Date Considered 03/15/2007 | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. | | | | | | | |

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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | | Attorney's Docket No. 17109-012001 / 922 | | Application No. 10/658,834 | |
| List of Patents and Publications for Applicant's Information Disclosure Statement | | | | Applicant Rene Gantier, et al. | | | |
| | | | | Filing Date September 8, 2003 | | Group Art Unit 1646 | |
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| Examiner Signature /Elly Gerald Stoical | Date Considered 03/15/2007 |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant. | |

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| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | | Attorney's Docket No. 17109-012001 / 922 | | Application No. 10/658,834 | |
| List of Patents and Publications for Applicant's Information Disclosure Statement | | | | Applicant Rene Gantier, et al. | | | |
| | | | | Filing Date September 8, 2003 | | Group Art Unit 1646 | |
| | | | | (37 CFR §1.98(b)) | | | |
| Other Documents (Include Author, Title, Date, and Place of Publication) | | | | | | | |
| Examiner Initial | Desig. ID | Document | | | | | |
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| Examiner Signature /Elly Gerald Stoica/ | Date Considered 03/15/2007 |
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| List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR § 1.98(b)) | | Applicant Rene Gantier et al. | |
| | | Filing Date September 8, 2003 | Group Art Unit 1646 |

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|---------------------|--------------|--------------------|---------------------|----------|-------|----------|-------------------------------|
| | | none | | | | | |

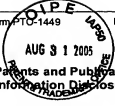
Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
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| | | | | | | | Yes | No |
| /EGS/ | AA | 01/25253 | 04/12/01 | PCT | | | | |
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Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|---------------------|--------------|----------|
| | | none |

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| Examiner Signature /Elly Gerald Stoica/ | Date Considered 03/15/2007 |
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|  <p>List of Patents and Publications for Applicant's Information Disclosure Statement</p> <p>(37 CFR §1.98(b))</p> | | | | Applicant Rene Gantier et al. | | | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes No |
| | | none | | | | | |

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Sheet 1 of 1

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| | | Applicant Rene Gantier et al. | |
| | | Filing Date September 8, 2003 | Group Art Unit 1646 |

List of Patents and Publications for Applicant's Information Disclosure Statement

(37 CFR §1.98(b))

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| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation | |
|------------------|-----------|-----------------|------------------|--------------------------|-------|----------|-------------|----|
| | | | | | | | Yes | No |
| | | none | | | | | | |

Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
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| | | none |

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Sheet 1 of 1

Substitute Form PTO-1449
(Modified)

U.S. Department of Commerce
Patent and Trademark Office

Attorney's Docket No.
17109-012001 / 922

Application No.
10/658,834

**List of Patents and Publications for Applicant's
Information Disclosure Statement**

(37 CFR §1.98(b))

Applicant
Rene Gantier et al.

Filing Date
September 8, 2003

Group Art Unit
1631

U.S. Patent Documents

| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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| | | | | | | | Yes | No |
| | | none | | | | | | |

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Examiner Signature

/Elly Gerald Stoical/

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03/15/2007

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Sheet 1 of 1

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|---|--------------|--|---------------------|---|-------|-------------------------------|-------------------------------|
| Substitute Form PTO-1449 (Modified) | | U.S. Department of Commerce Patent and Trademark Office | | Attorney's Docket No. 17109-012001 / 922 | | Application No. 10/658,834 | |
| List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b)) | | | | Applicant Rene Gantier et al. | | | |
| | | | | Filing Date September 8, 2003 | | Group Art Unit 1631 | |
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| U.S. Patent Documents | | | | | | | |
| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | none | | | | | |

| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | |
|---|--------------|--------------------|---------------------|-----------------------------|-------|----------|-----------------------|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes No |
| | AB | none | | | | | |

| Other Documents (Include Author, Title, Date, and Place of Publication) | | |
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Sheet 1 of 4

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| Substitute Form PTO-1516 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 17109-012001/922 | Application No. 10/658,834 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) | | Applicant Rene Gantier et al. | |
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| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
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| Examiner Signature <i>/Elly Gerald Stoica/</i> | Date Considered 03/15/2007 |
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 17109-012001/922 | Application No. 10/658,834 |
| Information Disclosure Statement by Applicant (Use several sheets if necessary) | | Applicant Rene Gantier et al. | |
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| Foreign Patent Documents or Published Foreign Patent Applications | | | | | | | |
|---|-----------|-----------------|------------------|--------------------------|-------|----------|-----------------------|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes No |
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| Examiner Initial | Desig. ID | Document |
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| | BP | Balk et al., "Biology of prostate-specific antigen," <i>J Clin Oncology</i> 21:383-391 (2003) |
| | BQ | Bielharz et al., "Antiviral and Antiproliferative Activities of Interferon- α ; The Role of Cysteine Residues," <i>J. Interferon Research</i> 6:677-685 (1986) |
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| | CA | Lee <i>et al.</i> , "Interferon- α 2 Variants in the Human Genome," <i>J. Interferon and Cytokine Res.</i> 15: 341-349 (1995) |
| | CB | Masci <i>et al.</i> , "New and modified interferon alphas: preclinical and clinical data," <i>Curr. Oncol. Rep.</i> 5: 108-113 (2003) |
| | CC | NCBI Core Nucleotide AY255838 09/27/2006 EGS |
| | CD | NCBI Protein AAA00949 09/27/2006 EGS |
| | CE | NCBI Protein AAA52715 09/27/2006 EGS |
| | CF | NCBI Protein AAA55468 09/27/2006 EGS |
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| | CL | NCBI Protein AAL01040 09/27/2006 EGS |
| | CM | NCBI Protein AAP20099 09/27/2006 EGS |
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| | CO | NCBI Protein AAR47404 09/27/2006 EGS |
| | CP | NCBI Protein AAR47412 09/27/2006 EGS |

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| Information Disclosure Statement by Applicant (Use several sheets if necessary) | | Applicant Rene Gantier et al. | |
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Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|------------------|-----------|---|
| EGS | CQ | NCBI Protein AA12828 09/27/2006 EGS |
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| | CX | Nisbet <i>et al.</i> , "Single Amino Acid Substitutions at Conserved Residues of Human Interferon- α Can Effect Antiviral Specific Activity," <i>Biochemistry International</i> 11: 301-309 (1985) |
| | CY | Palmeri <i>et al.</i> , "Interferon alpha-2b at low doses as long-term antiangiogenic treatment of a metastatic intracranial hemangioma: a case report," <i>Oncol Rep.</i> 7: 145-9 (2000) |
| | CZ | Piehlert, J. and G. Schreiber, "Mutational and Structural Analysis of the Binding Interface between Type I Interferons and their Receptor Ifnar2," <i>J. Mol. Biol.</i> 294: 223-237 (1999) |
| | DA | Radhakrishnan <i>et al.</i> , "Zinc mediated dimer of human interferon- α_3 , revealed by X-ray crystallography," <i>Structure</i> 4: 1453-63 (1996) |
| | DB | Stennicke, H.R. and G.S. Salvesen, "Catalytic properties of the caspases," <i>Cell Death and Differentiation</i> 6:1054-1059 (1999) |
| | DC | Streuli <i>et al.</i> , "At Least Three Human Type α Interferons: Structure of α_2 ," <i>Science</i> 209: 1343-7 (1980) |
| | DD | Takayama <i>et al.</i> , "Effects of oral administration of interferon-alpha on antibody production in mice with induced tolerance," <i>J Interferon Cytokine Res.</i> 19(8):895-900 (1999) |
| | DE | Uzé <i>et al.</i> , " α and β Interferons and Their Receptor and Their Friends and Relations," <i>J Interferon and Cytokine Res</i> 15: 3-26 (1995) |
| | DF | Vogelmeier <i>et al.</i> , "Use of secretory leukoprotease inhibitor to augment lung antineutrophil elastase activity," <i>Chest</i> 110:261S-266S (1996) |

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| Examiner Signature /Eily Gerald Stoica/ | Date Considered 03/15/2007 |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |